



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b>  <b>A61K 31/74</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 92/06694</b>  <b>(43) International Publication Date:</b> 30 April 1992 (30.04.92)
<b>(21) International Application Number:</b> PCT/US91/02300 <b>(22) International Filing Date:</b> 2 April 1991 (02.04.91)  <b>(30) Priority data:</b> 596,268 12 October 1990 (12.10.90) US  <b>(60) Parent Application or Grant</b> (63) Related by Continuation US 596,268 (CIP)  <b>(71) Applicant (for all designated States except US):</b> THE DOW CHEMICAL COMPANY [US/US]; Building B-1210, Freeport, TX 77541 (US).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only) :</b> VAUGHN, Walter, L. [US/US]; 307 Poinsettia, Lake Jackson, TX 77566 (US). McKEAND, Thomas, J., Jr. [US/US]; 537 Acker, Clute, TX 77531 (US). PATTON, Robert, T. [US/US]; 53 Tal- low Court, Lake Jackson, TX 77566 (US). FINLAYSON, Malcolm, F. [US/US]; 731 East 12 1/2 Street, Houston, TX 77008 (US).		<b>(74) Agent:</b> KRUPP, Stephen, P.; The Dow Chemical Com- pany, Patent Department, B-1210, Freeport, TX 77541 (US).  <b>(81) Designated States:</b> AT (European patent), AU, BE (Euro- pean patent), CA, CH (European patent), DE (Euro- pean patent), DK (European patent), ES (European pa- tent), FI, FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), SE (Eu- ropean patent), US.  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> ANTIMICROBIAL HYDROGELS		
<b>(57) Abstract</b>  Antimicrobial thermoplastic hydrogels having various forms and shapes (e.g., particles, fibers, and film) and their method of manufacture are disclosed. These antimicrobial hydrogels are water-swollen, but water-insoluble and have an essentially non-leachable antimicrobial compound ionically bonded to a thermoplastic. The hydrogel comprises at least one vinyl addition interpolymer having pendant alkali metal carboxylate groups or salts thereof, and at least one essentially non-leachable cationic antimicrobial compound ionically bonded to substantially all of the pendant carboxylate groups. Cationic antimicrobial compounds such as quaternary ammonium salts (e.g., cetyl pyridinium chloride) are especially preferred. The antimicrobial hydrogels are stable to a variety of fluid media and can be dehydrated and subsequently rehydrated. The antimicrobial hydrogels can also be formed into dispersions and used to coat fibers and fabrics. The antimicrobial hydrogels are particularly useful in destroying microorganisms contained in aqueous fluid media.		

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## ANTIMICROBIAL HYDROGELS

FIELD OF THE INVENTION

This invention pertains to antimicrobial thermoplastic hydrogels having various forms and shapes, such as particles, fibers, and film, depending upon the desired utility. These antimicrobial hydrogels are  
5 water-swollen and water-insoluble, and have an essentially nonleachable cationic antimicrobial compound ionically bonded to a thermoplastic and are particularly useful in destroying micro-organisms. Cationic  
10 antimicrobial compounds such as quaternary ammonium salts are particularly effective. The antimicrobial hydrogels are stable to a variety of fluid media.

BACKGROUND OF THE INVENTION

15 Antimicrobials can be either bacteriostatic or bactericidal and sometimes both. Historically, quaternary ammonium compounds have shown utility as antimicrobials. Some quaternary ammonium compounds are included as additives in various commercial  
20 formulations, e.g., cetyl pyridinium chloride is used as an additive in CEPACOL® mouthwash by Lakeside Pharmaceuticals. Other commercial antimicrobial compounds are effective as additives for aqueous systems  
25 for disinfecting articles. One such antimicrobial

useful in this manner is didecyl dimethyl ammonium chloride, trademarked BARDAC® 2250 and made by Lonza, Inc. Even though these antimicrobials have proven effective, their utility is concentration dependant and, therefore, is diminished by dilution.

In the article by Ackart et al. entitled "Antimicrobial Polymers", J. Biomed. Mater. Res., Vol. 9, pages 55-68 (1975), antimicrobial ionomers prepared from ethylene/acrylic acid interpolymers and alkylbenzyl dimethyl ammonium cations, particularly 8-hydroxyquinolinium, are disclosed. According to Ackart et al., "these ionomers would not be expected to provide significant protection to the user from pathogenic bacteria on the surface of an article fabricated from the ionomers" and hence would be classified as bacteristatic. The mechanism of operation of the antimicrobials of Ackart et al. is stated to be such that a liquid transfer medium is needed in order for the ionomers to be effective in environments in which no direct liquid-liquid or solid-liquid interphase transfer occurs.

U.S. Patent 2,951,766 (White) discloses antiseptic plastics which, upon contact with moisture or water, release the antiseptic from the material. The antiseptic is said to be dissolved or dispersed in a hydrophilic plastic material, but not chemically reacted with the plastic material. The plastic materials useful for this invention are water or alcohol-water soluble materials unless cross-linking agents are added. The antiseptic plastics disclosed in White are bactericidal, but the antiseptic or antimicrobial chosen is released from the plastic upon contact with water or moisture. Release of antiseptic may not be enviromentally proper,

depending upon the application, and therefore continues to be a commercial need.

Hydrogels have been known to be useful for entrapping or immobilizing biologically active molecules such as antibiotics and anti-bacteria agents. Hydrogels for Medical and Related Applications, (ACS Symposium Series 31 (1976)), by Joseph D. Andrade, defines hydrogels as "three dimensional networks of hydrophillic polymers, generally covalently or ionically cross-linked, which interact with aqueous solutions by swelling to some equilibrium value." A hydrogel is further defined as exhibiting "the ability to swell in water and retain a significant fraction (e.g., >20 percent) of water within its structure, but which will not dissolve in water." The hydrogels are stated to be prepared by solution polymerization of a monomer in the presence of a cross-linking agent and then entrapping the active biomolecule within the network structure which forms or by covalently immobilizing active molecules to hydrogels surfaces, such as coupling biomolecules to gels containing carboxyl groups.

#### SUMMARY OF THE INVENTION

Water-swollen, but water-insoluble hydrogels characterized as having pendant alkali metal carboxylate groups or ammonium carboxylate groups reacted with at least one cationic antimicrobial compound thereby ionically bonding the cationic antimicrobial compound to the interpolymer have now been discovered to form antimicrobial hydrogels. The antimicrobial hydrogels are surprisingly useful in many forms, e.g., dispersions, fibers, films and particles. The antimicrobial hydrogels of the present invention have an essentially non-leachable antimicrobial ionically bonded

to a thermoplastic interpolymer. The antimicrobial hydrogels of the present invention are effective antimicrobials, killing many micro-organisms on contact. The antimicrobial hydrogels of the present invention are surprisingly stable and also regenerable. The antimicrobial hydrogels of the present invention are useful in many areas, including stabilizing wood pulp and wood chips against souring, destroying micro-organisms in fluids such as milk, juice and water, preventing cosmetic products from becoming contaminated by microbes, as additives in foams, and in forming antimicrobial paper.

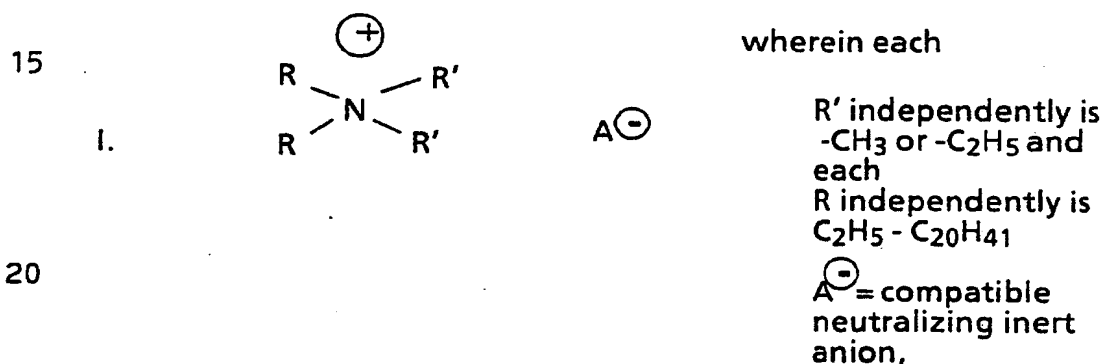
#### DETAILED DESCRIPTION OF THE INVENTION

5 One aspect of the invention is a method of producing a water-swollen, but water-insoluble antimicrobial hydrogel comprising at least one vinyl addition thermoplastic interpolymer having pendant alkali metal carboxylate groups or other salts thereof  
10 in various forms, such as fiber, film or particles, and at least one essentially non-leachable cationic antimicrobial compound ionically bonded to substantially all of the pendant carboxylate groups.

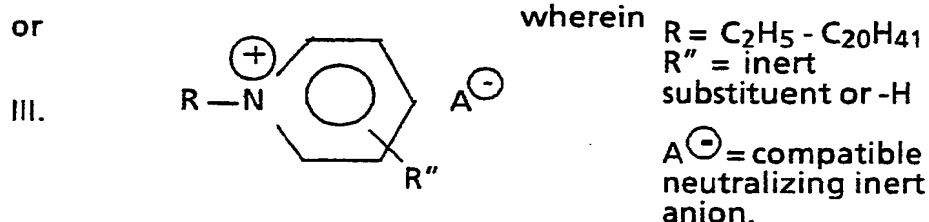
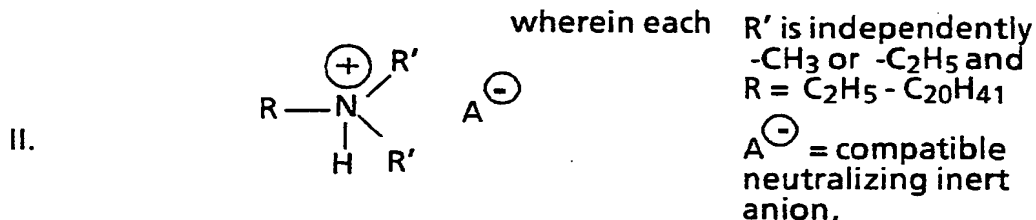
The thermoplastic interpolymer can be either  
15 linear or branched, or a mixture of these. The thermoplastic interpolymer can be a reacted or grafted mixture of ethylene-alpha olefins and carboxylic acids or anhydrides (e.g., ethylene-methacrylic acid interpolymer) but is preferably an ethylene-acrylic acid  
20 interpolymer (e.g., the homogeneous, random interpolymers of ethylene with alpha-olefinically unsaturated carboxylic acids or esters made in accordance with USP 4,599,392, or the homogeneous,  
25 random interpolymers of ethylene with alpha-olefinically

unsaturated carboxylic acids or esters made with telogenic modifiers in accordance with USP 4,988,781). The thermoplastic interpolymer can be in any shape, but is preferably formed into a shape dependant primarily upon the final utility, such as fiber or film. The thermoplastic interpolymer can also be in the form of particles and used in a dispersion.

The cationic antimicrobial compounds useful in the present invention can be any which are effective antimicrobials, but they must be essentially non-leachable when reacted with the vinyl addition thermoplastic interpolymer and subsequently contacted with deionized water. Chlorhexidine is a particularly effective antimicrobial for use in the invention. Quaternary ammonium antimicrobial salts are also particularly useful in forming the antimicrobial hydrogels of the present invention and can be any member of a known class of quaternary ammonium antimicrobial compounds, but is preferably one which has a molecular structure corresponding to at least one of the following formulas:



25



5

In each structure, the "R" group can also be a ring structure, such as a phenyl group. Didecyl dimethyl ammonium chloride and didecyl dimethyl ammonium bromide (generically described by Formula I), dimethyl benzyl ammonium chloride and dimethyl benzyl ammonium bromide (generically described by Formula II), and cetyl pyridinium chloride and cetyl pyridinium bromide (generically described by Formula III), or mixtures thereof are most preferred as the cationic antimicrobials for practicing the present invention.

The antimicrobial hydrogel can be formed from the thermoplastic interpolymer by a two step method or, preferably a one step method. The two step method comprises reacting at least one interpolymer having pendant alkali metal carboxylate groups, preferably at least one ethylene-acrylic acid (EAA) interpolymer, in the appropriate shape with an aqueous pH basic solution (e.g., sodium hydroxide, potassium hydroxide, ammonium hydroxide) for a time and at a temperature sufficient to



enable reaction between the base and the acrylic acid functionality to form a cation acrylate, (e.g., sodium acrylate, potassium acrylate, or ammonium acrylate). The extent of the reaction is dependant upon many factors, such as the alkaline concentration of the pH basic solution, the temperature of the solution, the time of contact between the interpolymer and the solution, the percent acid functionality present in the EAA interpolymer and the form or size of the EAA interpolymer. The second step in the two step method comprises contacting the cation acrylate with an aqueous antimicrobial solution comprising at least one quaternary ammonium salt having antimicrobial properties for a time sufficient to enable the antimicrobial salt to ionically bond to the cation acrylate site, thereby  
5 discharging the cation and forming the antimicrobial hydrogel.

Alternately, a one step process can be used to form the antimicrobial hydrogel. The one step process  
10 comprises simultaneously contacting the shaped thermoplastic polymer or interpolymer with the quaternary ammonium antimicrobial salt in an aqueous pH basic solution. The pH basic solution can have a pH of at least pH 7.1. Preferably the thermoplastic  
15 interpolymer comprises at least one EAA interpolymer. The extent of the conversion from the acid form of the EAA interpolymer to the antimicrobial hydrogel form is most preferably essentially complete. That is, substantially all (i.e., at least 75-80 percent) of the  
20 pendant acrylic acid groups are ionically bonded with the antimicrobially active salt. The antimicrobial hydrogel produced by either the two step method or the one step method in the form of a particle can be useful  
25 as a dispersion or as an additive in toothpaste, in hand

creams, mouthwash and the like. The antimicrobial hydrogel produced by either the two step method or the one step method in the form of a fiber can be useful in controlling micro-organisms in various fluids, including water (e.g., commode water), high purity chemicals, and air or it can be useful in the form of bonded fibers in a nonwoven. The hydrogels can also reduce micro-organism levels in recirculating white water used in processing wood pulp into paper.

The antimicrobial hydrogels of the present invention are fully hydratable, i.e., the hydrogels can be either substantially dehydrated (e.g., to a moisture content as low as 10-14 percent), partially hydrated or fully hydrated.

5 In another aspect, the hydrogels of the present invention are useful as a means of absorbing aqueous fluids. The hydrogels are capable of absorbing up to 50 percent of their weight in water. The amount of water absorbed in the hydrogels is dependant upon many  
10 variables, including the amount of reactive pendant alkali metal or ammonium carboxylate groups present in the base polymer, the degree of orientation of the base polymer and the antimicrobial chosen for forming the hydrogel.

15 In still another aspect, the invention is a stable antimicrobial hydrogel capable of withstanding exposure to oils, fats and solvents. The hydrogels are stable to oxidative effects and chemical contact. Generally, the antimicrobial is retained within the  
20 hydrogel after 7 days of continuous deionized water washings in amounts of at least 90 weight percent, preferably at least 94 weight percent.

25

Another aspect of the invention is that the antimicrobial hydrogel can be optionally crosslinked to form a hydrogel having a higher melting point. A higher melting point antimicrobial hydrogel has use, for example, in high temperature fluid treatment.

The melting point of the hydrogel of the present invention can optionally be elevated by ion exchanging at least one mono-, di-, and/or tri-valent metal cation with the pendant alkali metal carboxylate groups. The Fisher-Johns melting point of the hydrogel of the present invention can be elevated from 66°C to as high as 141°C. For example, a hydrogel made in accordance with the present invention having a melting point of 66°C, has a melting point of 141°C when reacted with ferric sulfate such that the metal reacted hydrogel  
5 has 1900 ppm ferric ion (Fe+++).

#### Hydrogel Preparation

Preparation of the antimicrobial hydrogel of  
10 the present invention can be accomplished by various techniques, three of which are described below:

#### Starting material

15 The base polymer is a vinyl addition interpolpolymer, preferably shaped in a form suitable for a specific end use application. For example, the base polymer can be an ethylene-methacrylic acid interpolpolymer (EMAA) or an ethylene-acrylic acid interpolpolymer (EAA) or  
20 the base polymer can be a blend of EAA interpolpolymers, or blends of EAA interpolpolymers with other thermoplastic polymers, (e.g., polypropylene, linear low density polyethylene, low density polyethylene, high density  
25 polyethylene or a similar polyolefin). The base polymer

preferably has at least one EAA interpolymers as one component. The base polymer or polymer blend is then formed into a particular shape, dependant upon the final hydrogel application area. For example, if the hydrogel were to be used in contact with a fluid, such as water, milk, assorted juices or air, to kill or control micro-organisms contained therein, then the hydrogel is advantageously in the form of a fiber. The fiber can then be wound or configured into a cartridge for the actual contacting step. In this particular instance then, the base polymer is preferably first melt spun into a fiber. The fiber can be of any appropriate diameter, such as strands, monofilaments, or fine denier, useful, for example, in dental floss, and may be a variety of shapes, merely adjusted by utilizing a die having the orifice shaped differently, such as circular shape, a star shape or trilobal shape. The fiber can also be in the form of a bicomponent fiber, e.g. a sheath/core configuration or a side-by-side configuration. In the case of a sheath/core configuration, the base polymer to be converted into a hydrogel can be either the sheath resin or the core resin or two different base resins can be utilized with one being the sheath and one being the core. Preferably, the sheath resin is the base resin. Those skilled in the art of fiber formation from thermoplastic materials know the various types of spinning which render the chosen polymer into a fiber form, such as continuous filament spinning, staple fiber formation, hollow fiber manufacture, spunbonded fabric manufacture including multilayer or entangled structures (i.e., one layer may be EAA interpolymers and another layer may be a lower melting fiber forming material, such that the lower melting material forms bonding sites when the

entire structure is passed through thermal calenders) and melt blown fiber or fabric manufacture including combinations of spunbond/melt blown/spunbond structures with the present invention residing in any or all of the sections, and any of the other known fiber forming methods from thermoplastic interpolymers are suitable for the practice of this invention. Additionally, the fiber forming step can impart a secondary or tertiary orientation to the fiber, surprisingly enhancing some of the properties of the hydrogel formed from that fiber, such as the ability of the hydrogel to increase the capacity for holding water. Such secondary orientations are commonly employed in the formation of staple fiber wherein godets are operated at different speeds such that the fiber is stretched or drawn between the godets and the fiber diameter is consequently reduced and the resultant fiber is more highly oriented and stronger. Use of higher orientation in the fiber forming step in the present invention affects the hydrogel by not only increasing the strength of the starting interpolymer in fiber form, but also increasing the amount of water which the hydrogel may contain. The fibers or filaments formed from the base polymer can then be placed in the form of a cartridge for ultimate use in a filter system, such as that used for filtering water or alternately can be converted directly into the hydrogel form by one of the two methods described in detail further in this disclosure.

The base polymer can alternately be formed into fine diameter particles. These particles can be formed, for example, by grinding the polymer and then sieving to narrow the particle size distribution. The particle size can be a range of sizes, or it can be a specific size distribution, depending upon the desired utility.

The particle size can be colloidal (e.g., useful in forming dispersions) or it can be as large as pellets. By varying the size of the particles of the base polymer, the hydrogel particles formed from them can be useful in various products, such as toothpaste, mouth wash, soaps, shampoos, ointments and hand cream. Fine diameter hydrogel particles can be added to wood pulp slurries either as solids, or formed in-situ as particles in the pulp, to stabilize against souring (i.e., micro-organism infestations). The particle size of the base polymer and ultimately the hydrogel will influence the ability of the hydrogel to remain in suspension in the fluid in which it is contained and thus is chosen accordingly. Conversely, the hydrogel can be made using the process disclosed herein, and then  
5 ground to the desired particle size for use in a dispersion. Hydrogel particles can also be added to a foam or a foam structure to impart biocidal or antimicrobial characteristics. The foam, for example,  
10 can comprise a polyolefin, polystyrene, latex, urea, isocyanurate, polyurethane or a cellulosic polymer.

The base polymer can also be in the form of a film or a film laminate or a coating. Film can be formed by any of the techniques known to those skilled  
15 in the art of film formation, but these are commonly known as cast film, blown film and extrusion coating operations. In the case of an extrusion coating operation, the base polymer is extrusion coated onto another substrate, e.g., a nylon film. Ethylene-acrylic  
20 acid interpolymers are particularly well known for their ability to adhere to polar substrates and consequently, if ethylene-acrylic acid interpolymers were used as the extrusion coating resin onto a nylon substrate, then a  
25 strongly adhered laminate would be formed. Alternately,

the base polymer can be in the form of a coating on a substrate, such as that obtained by coating the base polymer onto bristles, e.g., common nylon toothbrush bristles. The resultant conversion of this film form or coating of the base polymer (ethylene-acrylic acid interpolymers in this particular example) into the hydrogel form would then be accomplished by one of the techniques described further in this disclosure.

Alternately, the antimicrobial hydrogel can be formed and subsequently thermally extruded into a desired shape. The formed hydrogels can also be blended with other thermoplastics and melt processed into another desired shape, such as fiber, film, particles or pellets. Thermoplastics suitable for blending with the hydrogels of the present invention include, but are not  
5 limited to polyolefins such as polypropylene, low density polyethylene or LDPE, linear low density polyethylene or LLDPE, other base polymers such as ethylene-acrylic acid interpolymers, and other vinyl  
10 addition interpolymers having pendant alkali metal or ammonium carboxylate groups. The antimicrobial hydrogels of the present invention are thus thermally stable and can be reprocessed into a variety of shapes, including fiber, film and other molded articles, either  
15 by themselves or in blends with other thermoplastics.

Method One (The One Step Process):

The first method of preparing the hydrogel utilizes a one step method. For the examples contained  
20 and described in this disclosure unless stated otherwise, the base polymer is ethylene-acrylic acid (EAA) interpolymers having a melt index (which is inversely proportional to molecular weight) of 300  
25 grams/10 minutes measured according to ASTM D-1238 (E)

(190°C/2.16 kg), an acrylic acid content of 20 percent by weight of the interpolymer and a Fisher-Johns melting point of 96°C. Other base polymers can be employed which are also EAA, and these can have different percents acrylic acid and/or different molecular weights. The base polymer molecular weight will be dictated by the end use and form in which the hydrogel is desired. For example, in the case of film manufacture, the base polymer can have a melt index of between 0.1 and 30 grams/10 minutes. If the base polymer were to be made into particles, the melt index can vary from 0.1 to more than 3000 grams/10 minutes. If the base polymer were to be made into fiber, then the melt index is desirably between 20 and 2600 grams/10 minutes.

5           The first method of forming the hydrogel from the base interpolymer is by treating the EAA interpolymer in one step using a pH basic aqueous antimicrobial solution. By adjusting and maintaining  
10 the pH of the aqueous antimicrobial solution to a basic pH of at least pH 7.1 using sodium hydroxide, the reaction between the sodium ions forming the sodium acrylate ionomer and the antimicrobial quaternary ammonium salt ion most preferably proceeds essentially  
15 to completion if desired. That is, essentially all (i.e., at least 80 percent) of the acrylic acid moieties are reacted with the sodium hydroxide to form pendant alkali metal carboxylate groups and subsequently also reacted with the quaternary ammonium compound in situ.  
20 The time needed for this reaction in a basic medium is minimized by continually supplying sodium ions to the acrylic acid sites while simultaneously exchanging the quaternary ammonium salt with the sodium ions previously  
25 attached to the acrylic acid sites thereby driving the



equilibrium towards the hydrogel formation and the reaction essentially to completion. In this manner, the quaternary ammonium salt is ionically bonded to the pendant alkali metal carboxylate groups. The one step process will not proceed to the same extent when using an acid medium and is therefore not as desirable for use in the present invention.

The conversion yield of sodium acrylate ionomer to quaternary ammonium ion when using the one step process described in a basic medium is desirably at least 30 percent, preferably at least 60 percent, and most preferably at least 80 percent.

Method Two (The Two Step Process):

5        Step 1: The base polymer configured into the desired shape is treated by swelling in a pH basic solution (e.g., sodium hydroxide). The temperature of the caustic solution may be in a broad range, but it is preferably above room temperature, i.e., greater than 10    25°C, and below the melting point of the EAA. The caustic solution, e.g., sodium hydroxide (NaOH) or potassium hydroxide (KOH), can be at different strengths or concentrations. Generally the higher the concentration, the faster the reaction of the caustic 15    with the acrylic acid groups of the EAA. The pH of the NaOH solution is preferably at least pH 7.1. Swelling the EAA interpolymer in caustic solution can take various lengths of time depending upon the shape of the EAA and the degree of reaction desired with the acid 20    groups of the EAA. Generally, at least 30 percent by weight of the acrylic acid groups in the EAA are reacted with the NaOH, forming an ionomer having 3.5 percent by weight sodium ionically bonded to the EAA to form an 25    ethylene-sodium acrylate ionomer having a melt index of

0.1 grams/10 minutes and a melting point of 250-252°C, as determined by a Fisher-Johns melting point apparatus. Most preferably, when the reactions are complete, at least 80 percent by weight of the acrylic acid groups in the EAA are reacted with NaOH. Excess caustic solution is washed off the ionomer with deionized water.

Step 2: The ethylene-sodium acrylate ionomer is then contacted and reacted with an antimicrobial in a dilute aqueous solution having a pH of 6-7 at a concentration at least one mole of quaternary ammonium compound to one mole of acrylic acid, with the antimicrobial preferably being a quaternary ammonium salt compound. Preferably, at least one antimicrobial is chosen having a chemical formula from the group described earlier in this disclosure.

5           The aqueous antimicrobial solution is usually pumped around and through the ionomer to ensure good contact for a time sufficient to exchange the sodium groups of the ethylene-sodium acrylate ionomer with the  
10   quaternary antimicrobial compound to form an antimicrobial hydrogel having a melt index of 100 grams/10 minutes and a Fisher-Johns melting point ranging from 48°C to 88°C. Generally, the greater the extent of the reaction, the higher the percent nitrogen  
15   in the hydrogel and the lower the melting point. The contact time for the aqueous antimicrobial solution is dependant upon the extent of reaction desired, but it is preferably at least 1 hour and as long as 24 hours. For  
20   the examples disclosed herein, the antimicrobial compound used is didecyl dimethyl ammonium chloride unless stated otherwise. Preferably at least 80 percent of the sodium ions are exchanged with the quaternary compound and most preferably at least 99 percent of the  
25   sodium ions are reacted. An ethylene-sodium acrylate

ionomer having 4.2 percent by weight sodium content will have 20 parts per million (ppm) sodium content after reaction with dimethyldidecyl ammonium chloride. The nitrogen content on the dry or dessicated hydrogel sample is 1 percent by weight, which is equivalent to 23 percent by weight of the quaternary ammonium salt.

### Method Three

A third method of making the antimicrobial hydrogel from ethylene-acrylic acid interpolymers uses melt mixing of the components. The hydrogel is prepared by reacting molten ethylene-acrylic acid interpolymers with the antimicrobial, e.g., didecyl dimethyl ammonium chloride, while either simultaneously adding a caustic solution, or by incrementally adding caustic solution.

5 This process advantageously increases the molecular weight of the antimicrobial hydrogel formed while also enabling the reaction to the antimicrobial hydrogel stage to be performed using one step. The reaction is

10 carried out in any melting pot, preferably an extruder or a Brabender mixer.

### EXAMPLE 1

An ethylene-acrylic acid interpolymers having a

15 melt index of 300 grams/10 minutes and 20 percent acrylic acid was converted into the appropriate form by melt extruding into strands and converting into antimicrobial hydrogels. Using the two step method

20 described in Method Two above, 100 grams of the strand was placed into a continuously stirred beaker containing an excess of 0.5 Normal NaOH (or 2 weight percent) solution held at 55°C. The mixture was digested for 5

25 hours to convert the strands into an ethylene-sodium acrylate ionomer. The ethylene-sodium acrylate ionomer

was then washed repeatedly with deionized water to remove excess caustic. The form of the strands was in a swollen state such that the diameter was greater than it was at the start of the experiment. The swollen strands were then hammer-milled into fine diameter short fibrils and screened into 20-40 mesh size fibrils. The fibrils had a sodium content of 3.5-4.5 percent by weight at this stage of the treatment.

After the excess caustic was washed from the swollen sodium acrylate ionomer fibrils, the sample of fibrils was contacted with 500 milliliters (mls) of a 5 percent by weight aqueous solution of didecyl dimethyl ammonium chloride by placing the fibrils in a 5 cm (2 inch) diameter by 50 cm (20 inch) glass column which was fitted with spun glass fiber in the column outlet to prevent the sodium acrylate ionomer from plugging it. The swollen fibrils filled about half of the glass column. The didecyl dimethyl ammonium chloride solution was recirculated through the fibrils at a flow rate of 12-20 bed volumes per hour, or 6-10 liters/hour, and at a total treatment time of 18 hours forming antimicrobial hydrogel fibrils. At this stage, the diluted didecyl dimethyl ammonium chloride solution was diverted from the column and the column purged with 20 liters of deionized water. The fibrils were removed after purging with water and air dried. The water-swollen antimicrobial hydrogel fibrils were soft and rubbery at this point. The fibrils had a sodium content of 75 ppm and a nitrogen content of 1.7 percent based on dry fiber weight, which corresponds to 40.1 percent by weight of the quaternary ammonium compound. The conversion reaction of the sodium acrylate ionomer to the antimicrobial hydrogel state was 80.9 percent.

Effectiveness of the hydrogel fibrils was demonstrated by testing the hydrogel samples using the EASICULT® TTC test kit designed by ORION DIAGNOSTICA. The EASICULT® TTC test kit measures Colony Forming Units of micro-organisms per milliliter of test solution (CFU/ml). Colony forming units were visually evident on the test slides as red colonies or "dots". The tested slides were visually compared against photographs of standard slides to obtain CFU/ml of the test fluid in question. Test fluid was prepared by using 3.8 liters (1 gallon) of actual pond water diluted with 34 liters (9 gallons) of deionized water. Nutrient broth (manufactured by DIFCO Laboratories) was added to the test fluid in 0.5 gram portions to stimulate micro-organism growth.

5           To determine antimicrobial efficacy, approximately 70 grams of the water-swollen hydrogel fibril sample (30.3 grams of fibril on a dry weight basis) was placed in a 100 ml glass burette to a depth  
10 of 100 mm. Test fluid containing approximately 1,000,000 CFU/ml of micro-organisms was pumped through the antimicrobial hydrogel packed burette at 20, 40, 60 and 120 bed volumes per hour, corresponding to contact times of 3, 1.5, 1 and 0.5 minutes, respectively.

15           The test fluid effluent was collected and tested for micro-organism content by dipping a fresh EASICULT® slide into the test fluid. Each dipped slide was placed into an incubator oven at 34°C (93°F) and  
20 incubated for 48 hours. After the incubation period, each of the dipped, incubated slides was removed from the incubator oven and visually compared against the standard test slides to determine and assign the number of CFU/ml for that particular sample. For test values  
25 reported below the test limit described in the EASICULT®

TTC kit (i.e., 1000 CFU/ml), the results were obtained by serial dilution of a known organism count solution and comparing test slide colony counts. Results of "<10" CFU/ml means that there were no colony forming units seen on the slide.

The fibrils of EAA which were converted into antimicrobial hydrogels reduced the micro-organisms content of the effluent at all contact times tested to <10 CFU/ml of micro-organisms. Thus, under the conditions of this test, the antimicrobial hydrogels demonstrated essentially complete kill (>99.999 percent) of micro-organisms in less than 30 seconds contact time.

#### EXAMPLE 2

5           An ethylene-acrylic acid interpolymer having  
the properties described in Method Two above was  
converted into the appropriate form by extruding into  
continuous strands at a temperature of 113°C (235°F) to  
strand diameters of 3.18 mm (0.125 inches) and 7.6 mm  
10   (0.3 inches). The strands were made on a small 1.9 cm  
(0.75 inch) diameter extruder system having two  
temperature zones and were extruded under 1480 kPa (200  
psig) into a bucket of water for solidification.  
Portions of the 3.18 mm (0.125 inch) strand were placed  
15 into a vacuum oven and annealed for 15 hours between  
30°C and 50°C. Other portions of the unannealed strands  
were stretched and oriented by hand according to the  
following table:

20

25

Sample No.	Stretch Ratio	Comments	Diameter as Stretched (mm)
2A	NA	As Extruded	3.18 (0.125 in)
2B	NA	After Annealing	3.18 (0.125 in)
2C	2:1	Stretched; No Annealing	1.6 (0.063 in)
2D	3.5:1	Stretched; No Annealing	0.9 (0.036 in)

NA = Not applicable, i.e., not stretched

5           Samples 2A through 2D were treated using Method  
One above (One step method) to form the corresponding  
hydrogels. The process to form these hydrogels utilized  
20 grams of a 50 percent by weight solution of didecyl  
dimethyl ammonium chloride having 10 percent ethanol and  
10 40 percent water and 130 grams of deionized water, with  
the entire solution brought to a pH of 14 using 5.7  
grams of aqueous sodium hydroxide forming a basic  
didecyl dimethyl ammonium chloride solution. For each  
sample 2A through 2D, a 5 gram portion of the strand was  
15 placed in a 4 ounce glass bottle. The basic didecyl  
dimethyl ammonium chloride solution was then poured into  
the bottle and allowed to stand at room temperature  
(25°C) for 12-24 hours or until the reaction was  
complete. Heating and/or stirring the mixture will  
20 cause the reaction to proceed at a faster rate.  
Reaction acceleration may be accomplished, for example,  
by heating the mixture to 58-60°C. After contacting  
the basic didecyl dimethyl ammonium chloride solution,  
25 the antimicrobial hydrogel strands were thoroughly washed

with deionized water and allowed to equilibrate to room temperature and humidity conditions. The following table describes the properties of the strands after conversion to the antimicrobial hydrogel form:

Sample No.	Stretch Ratio	Weight Percent Nitrogen	Weight Percent Quat. Ammonium Compound	Weight Percent Moisture*	Percent Conversion of Acrylic Acid
2A	NA	1.1	24.97	29.61	46
2B	NA	1.1	24.97	25.62	46
2C	2:1	1.6	36.32	40.45	66
2D	3.5:1	1.9	43.13	48.69	78

NA = Not applicable, i.e., not stretched

\*Percent moisture is measured by drying in a vacuum oven at 45-50°C for 12-18 hours and measuring weight loss of the strands

5

Samples 2C and 2D show that stretching the strands before making a hydrogel, while using the one step process for forming the hydrogel, surprisingly enhances the ability of the hydrogel to increase the percent water retained and the conversion of the acrylic acid to the hydrogel form.

10

Effectiveness of the hydrogel formed using Method One as described above was tested using a zone of inhibition test. The zone of inhibition test demonstrates effectiveness of a antimicrobial on an agar plate which has been contaminated with a micro-organism-contaminated test fluid. The test fluid was prepared by using 3.8 liters (1 gallon) of actual pond water diluted with 34 liters (9 gallons) of deionized water. Nutrient broth (manufactured by DIFCO Laboratories) in 0.5 gram portions was added to the test

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fluid to stimulate micro-organism growth. Fresh agar plates (Plate Count Agar w/TTC (2,4,5-triphenyltetrazolium chloride) from Remel in Lenexa, Kansas) were then dipped three times into the test fluid. Each sample of hydrogel was placed onto the dipped agar plate and the agar plate containing the hydrogel placed into an oven incubator and allowed to incubate for 48 hours at 30-34°C (86-93°F). After the incubation, the agar plate containing the hydrogel was observed for inhibition of growth. The zone of inhibition was the area around the sample which does not support micro-organism growth. The zone of inhibition test measures the migratory nature of the antimicrobial in question, since the sample is stationary on the agar plate and the zone is measured about the periphery of the sample. The antimicrobial hydrogels formed by the present examples had minimal zones of inhibition, with the zone on the agar plate only beneath the sample and labeled as NGCA (No Growth on the Contact Area). The table below describes the test results:

Sample No.	Weight Percent Nitrogen	Weight Percent Quat. Ammonium Compound	Zone of Inhibition*
2A	1.1	24.97	TG
2B	1.1	24.97	NGCA
2C	1.6	36.32	NGCA
2D	1.9	43.13	NGCA

\*TG = Trace Growth under the sample  
NGCA = No Growth on the Contact Area

Thus, the antimicrobial hydrogels do not substantially leach the antimicrobial to the surrounding agar plate media, but still prove effective as antimicrobials which control micro-organisms on contact as evidenced by no growth on the contact area.

### EXAMPLE 3

A hydrogel was prepared using Method Three described above, wherein a portion of ethylene-acrylic acid interpolymer is added to a Brabender mixer at 125°C and allowed to melt and mix. Solid caustic (sodium hydroxide) and didecyl dimethyl ammonium chloride were added to the mixer when the EAA was melted and allowed to mix until the appearance was uniform (2 minutes at 150 RPM). The polymer mixture was then removed from the mixer and pressed into films which are cut into small pieces. The small pieces were washed overnight in deionized water before testing for antimicrobial activity. The chart below identifies quantities of starting materials as well as theoretical and actual nitrogen content of the hydrogels formed using the melt blending process:

Sample No.	Amount of EAA Added (grams)	Amount of NaOH Added (grams)	Amount of Biocide Added (grams)	Theoretical Percent Nitrogen in the Hydrogel	Actual Percent Nitrogen in the Hydrogel
3A	30	6	5	0.46	0.4
3B	30	6.7	15.1	1.3	0.7

Effectiveness of the melt manufactured hydrogels was demonstrated by testing the hydrogel samples using the EASICULT® TTC test kit designed by ORION DIAGNOSTICA as described in Example 1. To determine antimicrobial efficacy, each hydrogel sample was placed in 500 mls of test fluid (prepared as described in Example 1) which contained approximately 10,000 CFU/ml of micro-organisms. The level of the hydrogel in the test fluid was 500 ppm by weight of active bound quaternary ammonium compound. The test fluid containing the hydrogel sample was continuously stirred at room temperature and, at the times indicated in the table, a fresh EASICULT® slide was dipped into the test fluid. Each dipped slide was placed into an incubator oven at 34°C (93°F) and incubated for 48 hours.

5 After the incubation period, each of the dipped, incubated slides was removed from the incubator oven and visually compared against the standard test slides to determine and assign the number of CFU/ml for that particular sample. As described in Example 1, test

10 values reported below the test limit described in the EASICULT® TTC kit (i.e., 1000 CFU/ml) were obtained by comparing the test slide colony count with a previously prepared standard curve (prepared by serial dilution of

15 a known organism count solution). Results of "<10" CFU/ml means that there were no colony forming units seen on the slide. Data for the tests using the hydrogels of the present experiment appears in the following table:

20

25

Sample No.	Day 1 CFU/ml	Day 2 CFU/ml	Day 5 CFU/ml	Day 6 CFU/ml	Day 7 CFU/ml	Day 8 CFU/ml
3A	<10	10	50,000	10,000	50,000	50,000
3B	<10	<10	<10	<10	10,000	100,000

These data indicate that while both samples demonstrate antimicrobial activity, the samples with the greater extent of conversion (as represented by nitrogen content) had longer utility in use.

EXAMPLE 4 - not an example of the invention;  
for comparison only.

EAA was reacted with didecyl dimethyl ammonium chloride in the melt without using an aqueous base. Thirty five grams of EAA were added to a Brabender at 100°C and allowed to melt and mix. Ten grams of didecyl dimethyl ammonium chloride were added to the molten EAA and allowed to mix until the polymer blend has a uniform appearance. The polymer blend was removed from the Brabender, pressed into film and cut into small pieces. These small pieces were then repeatedly washed with deionized water. The samples produced in this manner had a maximum theoretical nitrogen content of 1.1 percent and a measured nitrogen content of 0.8 percent, seemingly indicating a conversion of 70 percent, but were not water-swollen. Test fluid was prepared as described in Example 1 containing approximately 10,000 CFU/ml of micro-organisms (measured using the EASICULT® system). The efficacy of the polymer blend sample was determined by using the EASICULT® test procedure described in Example 3. The results are shown in the table below:

25

Day 1 CFU/ml	Day 2 CFU/ml	Day 5 CFU/ml	Day 6 CFU/ml	Day 7 CFU/ml	Day 8 CFU/ml
100,000	100,000	100,000	100,000	10,000,000	1,000,000- 10,000,000

As the data indicate, the sample made without adding the base solution to the polymer melt was not effective in destroying or controlling micro-organisms and thus is not an example of the present invention.

EXAMPLES 5-10 and Comparative Example 11

5 A series of antimicrobials were used to form various hydrogels using the same one step method described in Example 2 with the same starting polymer (EAA) melt spun into approximately 50 micron diameter fiber, with the exception that the antimicrobial in Example 2 was substituted with each of the respective  
10 antimicrobials in the subsequent Examples. Test fluid was prepared as described in Example 1 containing approximately 10,000 CFU/ml of micro-organisms (measured using the EASICULT® system). Using the EASICULT® test procedure described in Example 3, the efficacy of each  
15 of the individual hydrogels was determined. The antimicrobials used in these examples, their chemical identity, percent nitrogen and the percent active bound quaternary ammonium compound appears in the following  
20 table:

25

Antimicrobial Example	Chemical Identity	Tradename	Percent Nitrogen	Percent Active Quat Ammon. Cmpnd.
5	DIMETHYL BENZYL AMMONIUM CHLORIDE	BARQUAT® 4280	1.5	35.97
6	DIMETHYL DICOCO AMMONIUM CHLORIDE	ARQUAD* 2C-75	0.5	32.59
7	CETYL DIMETHYL ETHYL AMMONIUM CHLORIDE	NA	1.5	62.5
8	CETYL TRIMETHYL AMMONIUM BROMIDE	NA	1.5	58.33
9	CETYL PYRIDINIUM CHLORIDE	NA	1.3	28.28
10	DIDECYL DIMETHYL AMMONIUM CHLORIDE	BARDAC® 2250	1.7	39.96

NA = Not Available

® Registered trademark of LONZA, Inc.

\*Made by AKZO Chemie America

Comparative Example 11 measures the micro-organism increase in the test fluid without hydrogels present, i.e., the measured increase in micro-organism count over time without the presence of the hydrogels of the present invention. The micro-organism reduction result for each sample is listed in the following table:

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Anti-microbial EXAMPLE	Percent Nitrogen	1 Hour CFU/ml	2 Hours CFU/ml	3 Hours CFU/ml	5.5 Hours CFU/ml	24 Hours CFU/ml
5	1.5	<10	<10	<10	<10	<10
6	0.5	10,000	≈ 1000	≈ 500	≈ 100	≈ 100
7	1.5	<10	<10	<10	<10	<10
8	1.5	≈ 1000	≈ 500	≈ 100	≈ 50	≈ 10
9	1.3	<10	<10	<10	<10	<10
10	1.7	<10	<10	<10	<10	<10
11*	NA	10,000	50,000	100,000	500,000	100,000

NA = Not Applicable

\*Not an example of the invention; Comparative Example Only

5 EXAMPLE 12

The two step method described previously in Method Two and in Example 1 was used to prepare hydrogel particles by starting with EAA having a melt index of 300 grams/10 minutes and 20 percent acrylic acid content which was finely ground and sieved to a particle size of 200 mesh. Conversion of the EAA particles to a hydrogel using didecyl dimethyl ammonium chloride as the antimicrobial yielded hydrogel powder having a nitrogen content of 2.2 percent (44.66 percent by weight active bound quaternary ammonium compound).

Portions of this powdered hydrogel were added to CLEAN & CLEAR® facial moisture lotion (made by REVLON, Inc.) at levels of 5.4 percent, 8.5 percent, 10.6 percent and 20.6 percent by weight of hydrogel. CLEAN & CLEAR contains: water, isosteryl neopentanonate, propylene glycol, TEA-stearate, glyceryl stearate, steareth-20, TEA-carbomer 941, geranium extract, dipropylene glycol, trisodium EDTA, stearic acid,

methylparaben, propylparaben, imidazolidinyl urea, FD&C red #4 and FD&C yellow #5. Of these compounds, methyl paraben, propylparaben and imidazolidinyl urea are antimicrobials which are added to the formulation by the manufacturer to prevent bacterial growth during storage of the cream.

CLEAN & CLEAR lotion cream was formulated with varying levels of 200 mesh hydrogel particles. One weight percent of the formulated CLEAN & CLEAR was added to a portion of a test fluid. Test fluid was prepared as described in Example 1 containing approximately 1,000 CFU/ml of micro-organisms (measured using the EASICULT® system). Using the EASICULT® test procedure described in Example 3, the efficacy of each of the formulated Clean & Clear samples was determined. The following table describes test results after adding the hydrogel at the active bound quaternary ammonium compound concentration indicated:

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Sample	Active Bound Quat. Ammon. Cmpnd. Conc. *** (ppm)	CFU/ml After 1 Hour	CFU/ml After 2.5 Hours	CFU/ml After 3 Hours	CFU/ml After 5.5 Hours
Test fluid	0	1000	5000	10,000	1,000,000
A*	0	1000	5000	10,000	1,000,000
B	250	<10	≈ 10	≈ 100	100,000
C	400	<10	<10	≈ 10	≈ 100
D	500	<10	<10	<10	≈ 10
E**	500	<10	<10	<10	≈ 10
F	1000	<10	<10	<10	<10

\* Contains 1% by weight CLEAN & CLEAR without hydrogel

\*\* Contains 500 ppm of the active bound quaternary ammonium compound, but 0% CLEAN & CLEAR

\*\*\* approximate level in the test fluid

The data indicate that addition of hydrogel particles to CLEAN & CLEAR lotion cream was effective in destroying micro-organisms. Sample A shows that diluted  
 15 CLEAN & CLEAR alone was not effective as an antimicrobial. Sample E clearly demonstrates that the hydrogel was responsible for the antimicrobial activity. Samples B, C, D and F show that different levels of hydrogel result in different levels of effectiveness and thus afford a  
 20 formulator flexibility dependant upon end use.

EXAMPLE 13

The 200 mesh hydrogel particles of Example 12 were added to commercial toothpastes to show effectiveness in controlling micro-organisms. These commercial toothpastes and their reported contents were as follows:

GLEEM® by Procter & Gamble: sodium fluoride in a dentifrice base of calcium pyrophosphate, water, sorbitol, glycerin, cellulose gum, sodium lauryl sulfate, flavor, magnesium aluminum silicate, disodium phosphate, sodium phosphate, and sodium saccharin; and

ULTRA BRITE by Colgate-Palmolive Company: Sodium monofluorophosphate, water, glycerin, hydrated silica, alumina, sodium lauryl sulfate, flavor, carrageenen, cellulose gum, sodium benzoate, titanium dioxide and sodium saccharin.

Portions (0.91 grams) of the 200 mesh hydrogel particles were mixed with 3 gram portions of two commercial toothpastes. The entire amount of each formulated mixture was added to 500 ml of test fluid prepared as in Example 1 containing approximately 100,000 CFU/ml micro-organisms to yield a mixture containing 910 ppm active bound quaternary ammonium compound and 6000 ppm toothpaste in the test fluid.

Using the EASICULT® test procedure described in Example 3, the efficacy of each of the formulated toothpaste samples was determined. The results are displayed in the following table:

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25

Trial	Base Toothpaste	Active Bound Quaternary Ammonium Compound Approximate Concentration (ppm)	CFU/ml in 1 Hour	CFU/ml in 2 Hours	CFU/ml in 3 Hours
A	GLEEM*	0	100,000	100,000	100,000
B	GLEEM*	910	500	100	100
C	ULTRABRITE**	0	100,000	100,000	100,000
D	ULTRABRITE**	910	500	100	<10

\* Tradename of PROCTER & GAMBLE

\*\* Tradename of COLGATE-PALMOLIVE Company

5

As the data indicate, Trials C and E, which contain the hydrogel particles of the invention, control the micro-organisms in a matter of hours, whereas comparative Trials A, B and D, which do not contain hydrogel, had no effect on the micro-organism population.

10

#### EXAMPLE 14

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Similarly to Example 13, 0.91 gram portions of the 200 mesh hydrogel particles prepared in Example 12 were added to 3 gram portions of commercially available shampoos. Each formulated shampoo sample was added to an aliquot of nutrient enriched test fluid (prepared as in Example 1) containing approximately 10,000 CFU/ml micro-organisms. Antimicrobial activity was tested using the EASICULT® TTC SYSTEM as described in Example 3. The table below summarizes the data:

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Trial	Base Shampoo	Active Bound Quaternary Ammonium Compound Approximate Concentration (ppm)	CFU/ml in 2 Hours	CFU/ml in 3 Hours	CFU/ml in 4 Hours
A	Test Fluid Only	0	50,000	100,000	100,000
B	CLAIROL*	0	50,000	100,000	100,000
C	CLAIROL*	910	1,000	100	<10

\* Made by Clairol, Inc.

As the data indicate, Trial C, which contained the hydrogel particles of the invention, controlled the micro-organisms in a matter of hours, whereas comparative Trials A and B, which did not contain hydrogel, had no effect on the micro-organism population.

#### 10 EXAMPLE 15

Similarly to Example 13, 0.91 gram portions of the 200 mesh hydrogel particles prepared in Example 13 were added to 3 gram portions of three commercially available soaps. Each formulated mixture was added to 500 ml of test fluid prepared as in Example 1 containing approximately 100,000 CFU/ml micro-organisms to yield a mixture containing 910 ppm active bound quaternary ammonium compound and 6000 ppm soap. Using the EASICULT® test procedure described in Example 3, the efficacy of each of the formulated soap samples was determined. The results are displayed in the following table:

25

Trial	Base Soap	Active Bound Quaternary Ammonium Compound Approximate Conc. (ppm)	CFU/ml in 1 Hour	CFU/ml in 2 Hours	CFU/ml in 3 Hours
A	OXYDOL* Flake	0	100,000	75,000	100,000
B	OXYDOL* Flake	910	50,000	10,000	5,000
C	IVORY** Hand	0	100,000	100,000	100,000
D	IVORY** Hand	910	1,000	100	10
E	SUN*** Liquid	0	100,000	100,000	100,000
F	SUN*** Liquid	910	5,000	1000	10

\* Tradename of Procter & Gamble

\*\* Tradename of Procter & Gamble

\*\*\* Tradename of Lever Brothers Company.

- Trials B, D and F show that the addition of the hydrogel particles of the invention to commercially available soaps controled micro-organisms in water.
- 10 Trials A, C and E show that the commercially available soaps did not control micro-organisms by themselves.

#### EXAMPLE 16

- Using Method One, a hydrogel was prepared from melt spun EAA with cetyl pyridinium chloride (CPC) substituted for didecyl dimethyl ammonium chloride. The active level of the antimicrobial cation (or active bound quaternary ammonium compound) after this reaction was 2.1 percent by weight nitrogen or 48.4 percent by weight CPC. Portions of the hydrogel fiber were added to 500ml aliquots of test fluid (prepared as in Example 1) containing approximately 100,000 CFU/ml micro-organisms to yield mixtures containing from 16 ppm active bound quaternary ammonium compound to 517 ppm active bound quaternary ammonium compound. Trial A did not contain

hydrogel and was a comparative trial only. Using the EASICULT® test procedure described in Example 3, the efficacy of each of the formulated samples was determined. The results are displayed in the following table:

Trial	Active Bound Quaternary Ammonium Compound Approximate Conc. (ppm)	CFU/ml in 1 Hour	CFU/ml in 2 Hours	CFU/ml in 3 Hours	CFU/ml in 4 Hours
A*	0	100,000	100,000	100,000	100,000
B	16	50	10	10	10
C	30	<10	<10	<10	<10
D	62	<10	<10	<10	<10
E	86	<10	<10	<10	<10
F	172	<10	<10	<10	<10
G	258	<10	<10	<10	<10
H	346	<10	<10	<10	<10
I	430	<10	<10	<10	<10
J	517	<10	<10	<10	<10

\* Test fluid without hydrogel added

The data show that hydrogels made in accordance with the invention using cetyl pyridinium chloride as the antimicrobial and placed into micro-organism rich environments were effective in reducing bacteria counts, even down to a level of 16 ppm active bound quaternary ammonium compound.

EXAMPLE 17 - For Comparison only; not an example of the invention

CEPACOL<sup>TM</sup> mouthwash (made by Lakeside Pharmaceuticals), which contains 500 ppm of cetyl pyridinium chloride (CPC), was added in various aliquots to test fluid prepared as in Example 1 which contained approximately 1,000,000 CFU/ml. The level of the CEPACOL was adjusted in each trial to vary the active level of CPC. Using the EASICULT<sup>®</sup> test procedure described in Example 3, the efficacy of each of the formulated samples was determined. The data are summarized below:

Trial	Active CPC Content (ppm)	CFU/ml in 1 Hour	CFU/ml in 4 Hours
A*	0	1,000,000	1,000,000
B	5	50,000	NM
C	10	5,000	NM
D	15	500	NM
E	20	100	NM
F	25	10	<10
G	50	<10	<10
H	100	<10	<10
I	200	<10	<10

\* Test fluid only without CEPACOL added  
NM indicates data Not Measured

As the data indicate, CPC was effective as an antimicrobial to low levels. Control of micro-organisms was exhibited within one hour of contact when the CPC level was as low as 25 ppm. As Example 16 demonstrated in comparison to Example 17, when CPC was utilized as the active antimicrobial of the present invention in hydrogel form, the hydrogels were capable of performing

as antimicrobials at approximately the same active CPC level as a commercially available mouthwash.

EXAMPLES 18-19 and COMPARATIVE EXAMPLE 20

Antimicrobial hydrogels were prepared from EAA cast film and didecyl dimethyl ammonium chloride (Example 18), CPC (Example 19) and 8-hydroxy quinoline (Comparative Example 20) using the one-step process previously described. The hydrogels were tested for activity using the zone of inhibition test described in Example 2 and test fluid prepared as described in Example 1 with initial plate count at  $>10,000$  CFU/ml micro-organisms. The samples were also tested for percent nitrogen, indicating the presence or absence and level of the specific antimicrobial. Each hydrogel film sample was tested at the beginning of the procedure (i.e., before contact with water) and after 7 days of daily 24 hour stagnant soakings in deionized (DI) water (pH=4.52). Each of the samples was individually placed in 125 ml (approximately 4 ounce) aliquots of fresh DI water.

The test results are described in the following tables:

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Example	Antimicrobial	Starting Percent Nitrogen	Starting Weight Percent Bound Quat.	Remaining Percent Nitrogen	Remaining Weight Percent Bound Quat.
18	didecyl dimethyl ammonium chloride	0.86	20.03	0.86	20.02
19	CPC	0.57	12.4	0.54	11.75
20*	8-hydroxy quinoline	0.09	0.35	0.005	0.02

\*Comparative example only

5

Example	Antimicrobial	Beginning Zone of Inhibition (mm)	Zone of Inhibition After 7 Days DI Water Contact
18	didecyl dimethyl ammonium chloride	NGCA**	NGCA**
19	CPC	NGCA**	NGCA**
20*	8-hydroxy quinoline	5.5	None***

10

\*Comparative example only

15

\*\*No Growth on Contact Area

\*\*\*No zone of inhibition (i.e., growth everywhere, including contact area)

As the data indicate, Examples 18 and 19 did not have a measurable zone of inhibition, although they did not have growth detected beneath the sample, and did retain the antimicrobial, as measured by percent nitrogen, at levels from 94-100 percent (by weight of the original level) after 7 days of contact with DI water. Thus, the antimicrobials for use with the

25

present invention were essentially non-leachable when used in manufacturing the hydrogels of the present invention. As previous Examples using the EASICULT® system demonstrated, each of these hydrogels controlled micro-organisms on contact without having a measurable zone of inhibition.

In contrast, when 8-hydroxy quinoline was used as the antimicrobial, the zone of inhibition was initially high, indicating antimicrobial migration, and was reduced after 7 days of contact with DI water to no detectable zone of inhibition, with growth detected under the sample. The percent nitrogen analysis verified the rapid depletion or migration of 8-hydroxy quinoline from the hydrogel structure, thus showing its leachability.

5

#### Example 21

A dispersion of antimicrobial hydrogels was formed by the following procedure:

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1. An amount of a quaternary ammonium salt having antimicrobial properties was added to an amount of deionized water and stirred. The pH was adjusted to the indicated target, thus forming a first solution.

15

2. An ethylene/acrylic acid dispersed solution (dispersed using any of the methods known to those skilled in the art, for example, by mixing with an aqueous dispersion of ammonium hydroxide) was diluted with deionized water to a predetermined degree and also stirred to form a second solution.

20

3. The entire second solution was slowly added to the first solution while simultaneously stirring to prevent solids aggregation, thus forming a third solution.

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4. The pH of the third solution was adjusted to the desired point until the pH was relatively constant, thus forming the antimicrobial hydrogel dispersion.

Percent non-dispersibles was determined by filtering an aliquot of the dispersion through a 100 mesh (140 micron) screen and weighing the amount of the dispersion collected on the screen. The percent solids was determined by calculating the amount of the solids originally added to the solution minus the amount of the non-dispersibles. The following table describes the quantities used in dispersions formed from the antimicrobial hydrogels of the present invention:

Trial	First Solution		Second Solution		Percent Conversion
	Weight of Quat. Amm. Salt added (grams)	Weight of water added (grams)	Weight of EAA dispersion added (grams)	Weight of water added (grams)	
1A-D	10	100	20	70	100
2A-D	7.5	100	20	70	75
3A-D	5	100	20	70	50

The following three tables list the percent non-dispersibles and percent solids for these three experiments as a function of pH:

Trial	Target pH	Weight percent solids	Weight percent non-dispersibles
1A	4	4.70	62.22
1B	6	5.47	96.01
1C	8	7.68	98.32
1D	10	3.65	2.09

Target conversion = 50 percent

Trial	Target pH	Weight percent solids	Weight percent non-dispersibles
2A	4	5.36	65.03
2B	6	5.61	76.75
2C	8	7.23	98.49
2D	10	6.75	93.87

Target conversion = 75 percent

Trial	Target pH	Weight percent solids	Weight percent non-dispersibles
3A	4	5.13	7.88
3B	6	4.99	1.05
3C	8	4.93	76.74
3D	10	3.36	90.89

Target conversion = 100 percent

It is important to note that one or more surfactants can be added to the dispersion, but it is not necessary to add surfactant for the dispersion to be formed.

The efficacy of dispersion trial 3B was demonstrated by performing a zone of inhibition test, as described in Example 2, using the POLYSEED® (made by POLYBAC) Bacterial Inoculum test solution described in Example 22. The dispersion was added dropwise to each of the indicated substrates and subsequently air dried overnight. The dispersion coated substrate was weighed and reweighed to determine the amount of dispersion added. The following table describes the test results:

Substrate	Dispersion amount applied to substrate (grams)	Zone of Inhibition (mm)
NA	NA	3.25
nylon scrim	0.002	0.75
filter paper	0.0103	1.0

NA = Not Applicable; this sample is the antimicrobial hydrogel which is cold pressed into a plaque

As the data indicate, the zone of inhibition was minimal for all the samples, but the antimicrobial hydrogel dispersions still maintained efficacy.

#### Example 22

Blends of hammer milled hydrogel fiber having approximately 1.9 percent nitrogen prepared as in Example 1 and other thermoplastic polymers (low density polyethylene (LDPE), ethylene-carbon monoxide copolymers (ECO), and ethylene-acrylic acid interpolymers (EAA)) were prepared by preweighing each sample and adding to a

modified Banbury mixer for melt mixing. The antimicrobial hydrogels were allowed to air dry before the experiment was performed. The diluent thermoplastic was added to the Banbury first and melted. Subsequently, the antimicrobial hydrogel was added to the Banbury and allowed to blend for 2 minutes. For a polyethylene based diluent thermoplastic, the temperature was approximately 140°C. For a 100 percent antimicrobial hydrogel melt blended sample, the melt temperature is approximately 130°C. After the 2 minute mixing period, the polymer/antimicrobial hydrogel blend was pressed into 5 cm (2 inch) diameter disks, each 0.3 cm (0.125 inch) thick in a hot press at 190°C for 2 minutes. The pressed disks were subsequently tested for antimicrobial activity using the zone of inhibition testing previously described in Example 2 using a POLYSEED® Bacterial Inoculum test solution prepared according to the following recipe:

POLYSEED® is a blend of twelve types of bacteria (rods and cocci) commonly found in municipal and industrial wastes which has been formulated as a seed population for biochemical oxygen demand (BOD) tests. In practice it has been found that bacterial populations on the order of  $10^6$  colony forming units (CFU) per ml can be expected with one POLYSEED® capsule. This population is stable for up to six hours with stirring and aeration.

#### 1. Reagent Preparation

Prepare aqueous reagent solutions as follows:

- a. Magnesium sulfate solution: Dissolve 3.35 g  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  in distilled water and dilute to one hundred mls.

- b. Calcium chloride solution: Dissolve 3.75 g  $\text{CaCl}_2$  in distilled water and dilute to one hundred mls.
- c. Ferric chloride solution: Dissolve 0.025 g  $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$  in distilled water and dilute to one hundred mls.
- d. Phosphate buffer solution: Dissolve .85 g  $\text{KH}_2\text{PO}_4$ , 2.175 g  $\text{K}_2\text{HPO}_4$ , 3.34 g  $\text{Na}_2\text{HPO}_4 \cdot 7\text{H}_2\text{O}$ , and .17 g  $\text{NH}_4\text{Cl}$  in about 50ml of distilled water and dilute to 100 ml. The pH should be 7.2 without further adjustment.
- e. Place prepared solutions in bottles for storage.

NOTE: Discard any of the above reagents if there is any sign of biological growth in the stock solutions.

5

2. Measure 500 ml of distilled water into a one liter beaker.

3. Add one ml of each of the prepared reagents (see step 1) to the distilled water and stir to mix.

10

4. Add the contents of one POLYSEED® capsule to the water.

5. Place a magnetic stirring bar into the solution and stir on a stir plate and aerate with a sparge tube for at least one hour.

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6. After one hour, remove an aliquot of the POLYSEED® solution.

7. Expose an EASICULT-TTC slide to this solution and incubate as per the instructions for its use.

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8. Continue to stir and aerate the POLYSEED® solution as aliquots are withdrawn for various purposes. The solution is good for at least six (6) hours after preparation.

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9. At the conclusion of testing or at the end of the day, dispose of the solution.

The following tables describe the melt blended antimicrobial hydrogel/thermoplastic polymer blends and the resultant antimicrobial activity:

Weight Percent Hydrogel	Diluent Polymer	Zone of Inhibition (mm)	Antimicrobial Efficacy*
50	EAA (3 percent acrylic acid)	2.0	NGOC
50	ECO (9.8 percent carbon monoxide)	3.0	NGOC
100	None	4.65	NGOC
100**	None	3.75	NGOC

\*NGOC = No Growth On the Contact area

\*\* Not Melt blended

Diluent polymer = EAA  
(melt index = 1.5 g/10 minutes, 10 percent acrylic acid)

Weight Percent Hydrogel	Melt index (g/10 minutes)	Zone of Inhibition (mm)	Antimicrobial Efficacy*
50	29	0	TGOC
25	3.9	0	TGOC
12.5	2.5	0	GOC
6.25	2.2	0	GOC
3.125	1.8	0	GOC
0	1.5	0	GOC

\*TGOC = Trace Growth on the Contact area

GOC = Growth on the Contact area



Diluent polymer = LDPE  
(melt index = 2 g/10 minutes, density = 0.9225 g/cc)

Weight Percent Hydrogel	Melt index (g/10 minutes)	Zone of Inhibition (mm)	Antimicrobial Efficacy*
50	143	2.25	NGOC
25	18	0.75	NGOC
12.5	5	0.5	NGOC
6.25	3.4	0	TGOC
3.125	2.9	0	GOC
0	2	0	GOC

\*NGOC = No Growth On the Contact area  
TGOC = Trace Growth on the Contact area  
GOC = Growth on the Contact area

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As the data in the tables demonstrate, melt blends of antimicrobial hydrogels of the present invention with other thermoplastics, especially LDPE, ECO, and EAA having relatively low acrylic acid content, maintained effectiveness as antimicrobials, with minimal zones of inhibition, but without growth on the contact area.

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## Claims:

1. A water-swollen but water-insoluble hydrogel characterized as having:

(a) at least one vinyl addition shaped interpolymer having pendant alkali metal or ammonium carboxylate groups, reacted with

5 (b) at least one cationic antimicrobial compound, thereby ionically bonding said antimicrobial compound to the pendant carboxylate groups in (a) and rendering the antimicrobial compound essentially non-leachable from the reaction product when contacted with  
10 deionized water, said hydrogel further characterized as being antimicrobial.

2. The water-swollen but water-insoluble antimicrobial hydrogel of Claim 1 wherein said cationic  
15 antimicrobial compound is further characterized as being at least one quaternary ammonium salt having antimicrobial properties.

3. The composition of Claim 1 wherein said  
20 shaped interpolymer is further characterized as being in the form of fiber or strand.

25

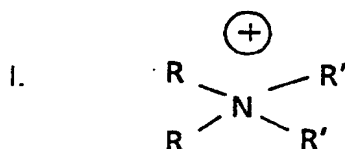
4. The composition of Claim 3 wherein said fiber is a bicomponent fiber having a sheath/core configuration and wherein said shaped interpolpolymer comprises the sheath.

5. The composition of Claim 1 wherein said shaped interpolpolymer is in the form of particles.

6. The composition of Claim 1 wherein said shaped interpolpolymer is in the form of film.

7. The composition of Claim 2 wherein said quaternary ammonium salt has a molecular structure corresponding to the formula:

15

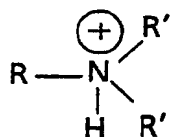


wherein each R' is independently -CH<sub>3</sub> or -C<sub>2</sub>H<sub>5</sub> and each R is independently C<sub>2</sub>H<sub>5</sub> - C<sub>20</sub>H<sub>41</sub>  
A<sup>-</sup> = compatible neutralizing inert anion,

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II.

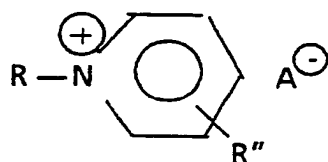


wherein each R' is independently -CH<sub>3</sub> or -C<sub>2</sub>H<sub>5</sub> and R = C<sub>2</sub>H<sub>5</sub> - C<sub>20</sub>H<sub>41</sub>  
A<sup>-</sup> = compatible neutralizing inert anion,

30

or

III.



wherein  $R = C_2H_5 - C_{20}H_{41}$   
 $R'' =$  inert  
 substituent or -H  
 $A^- =$  compatible  
 neutralizing inert  
 anion.

5

8. The antimicrobial hydrogel of Claim 7  
 10 wherein said quaternary ammonium salt is didecyl  
 dimethyl ammonium chloride or bromide, cetyl pyridinium  
 chloride or bromide, dimethyl benzyl ammonium chloride  
 or bromide, or a mixture of any of these.

15 9. The hydrogel of Claim 8 wherein said vinyl  
 addition interpolymer is at least one ethylene-acrylic  
 acid interpolymer reacted with sodium hydroxide.

20 10. The antimicrobial hydrogel of Claim 1  
 wherein said antimicrobial hydrogel is substantially  
 dehydrated.

25 11. The antimicrobial hydrogel defined by  
 Claim 10 wherein said hydrogel is water absorbent or  
 water-swellaable.

30 12. The antimicrobial hydrogel of Claim 1  
 blended with other thermoplastics and melt processed  
 into a desired shape to form a antimicrobial hydrogel  
 thermoplastic blend.

13. The antimicrobial hydrogel thermoplastic blend of Claim 12 wherein the thermoplastics are chosen from the group consisting of:  
polypropylene, low density polyethylene, linear low density polyethylene and ethylene-acrylic acid  
interpolymers.

14. A method of making the water-swollen but water-insoluble antimicrobial hydrogel defined by Claim 1 comprising

(A) reacting in a pH basic aqueous reaction medium

(a) at least one shaped vinyl addition interpolpolymer having pendant alkali metal carboxylate or ammonium carboxylate groups with

(b) at least one cationic antimicrobial compound and

(B) recovering the reaction product as said antimicrobial hydrogel.

15. The method of Claim 14 wherein said cationic antimicrobial compound is at least one quaternary ammonium salt having antimicrobial properties.

16. The method of Claim 14 wherein said vinyl addition interpolpolymer is an alkali metal salt of an ethylene-acrylic acid interpolpolymer.

17. The method of Claim 14 wherein said shaped interpolpolymer is in the form of fiber or strand.

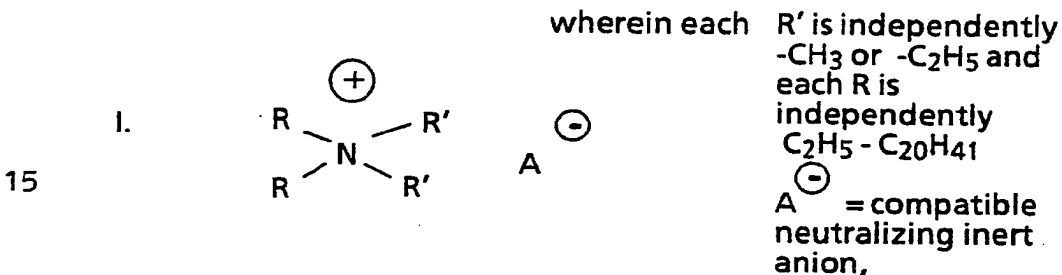
18. The method of Claim 14 wherein said shaped interpolpolymer is in the form of particles.

19. The method of Claim 14 wherein said shaped interpolymer is in the form of film.

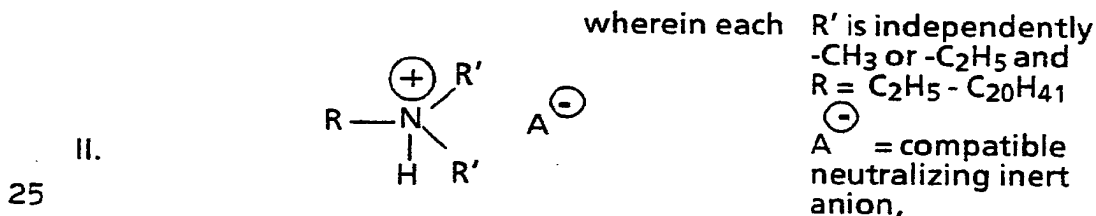
20. The method of Claim 14 further comprising the step of substantially dehydrating said hydrogel.

21. The method of Claim 15 wherein said quaternary ammonium salt has a molecular structure corresponding to the formula:

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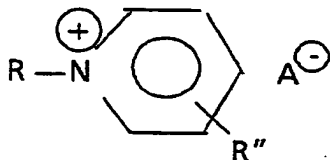


25

30

or

III.



wherein R = C<sub>2</sub>H<sub>5</sub> - C<sub>20</sub>H<sub>41</sub>  
R'' = inert substituent or -H

A<sup>-</sup> = compatible neutralizing inert anion.

22. The method of Claim 21 wherein said  
quaternary ammonium salt is didecyl dimethyl ammonium  
chloride or bromide, cetyl pyridinium chloride or  
bromide, dimethyl benzyl ammonium chloride or bromide,  
5 or a mixture thereof.

23. A method of removing water from an aqueous  
fluid comprising contacting said aqueous fluid with the  
water absorbent hydrogel of Claim 11.  
10

24. The method of Claim 18 further comprising  
forming an antimicrobial dispersion from said particles.

25. The method of Claim 18 further comprising  
15 blending said particles with other thermoplastics.

26. The method of Claim 25 wherein the  
thermoplastics are chosen from the group consisting of:  
20 polypropylene, low density polyethylene, linear low  
density polyethylene and ethylene-acrylic acid  
interpolymers.

27. The method of Claim 18 further comprising  
25 adding said particles to a foam thereby forming an  
antimicrobial foam.

28. The method of Claim 27 wherein said foam  
comprises a polyolefin, polystyrene, latex, urea,  
30 isocyanurate, polyurethane or a cellulosic polymer.

29. The method of Claim 24 wherein said  
antimicrobial dispersion is applied to at least one  
natural or synthetic fiber.

30. The method of Claim 29 wherein said synthetic fiber is chosen from the group consisting of: polyethylene, polypropylene, polyester and nylon.

5 31. The method of Claim 30 wherein said synthetic fiber is in the form of a nonwoven fabric.

10 32. The method of Claim 14 further comprising ion exchanging said pendant alkali metal carboxylate or ammonium carboxylate groups with at least one mono-, di-, and/or tri-valent metal cation to form an antimicrobial hydrogel having an elevated melting point.


15 33. A method of controlling micro-organisms comprising contacting an aqueous micro-organism containing medium with the antimicrobial hydrogel of Claim 1.

20 34. The method of Claim 33 wherein said aqueous micro-organism containing medium is recirculating white water used in processing wood pulp into paper.

25 35. The method of Claim 34 wherein said antimicrobial hydrogel is regenerable.

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<b>CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>1</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC(5): A61K 31/74		
U.S.CL: 424/79		
<b>FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>4</sup>		
Classification System	Classification Symbols	
US	424/79, 83	
Documentation Searched other than Minimum Documentation to the extent that such documents are included in the Fields Searched <sup>4</sup>		
III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>11</sup>		
Category <sup>8</sup>	Citation of Document, <sup>12</sup> with indication, where appropriate, of the relevant passages <sup>13</sup>	Relevant to Claim No. <sup>14</sup>
Y	US, A, 4,766,036 (VAUGHN) 23 August 1988 See entire document.	1-3, 9, 12-35
Y	US, A, 4,111,922 (BEEDE) 05 September 1978 See entire document.	1-35
Y	US, A, 4,920,158 (MURRAY) 24 April 1990 See entire document.	30, 31
Y	Block, S.S. (1983). "Disinfection Sterilization and Preservation", LEA & FEBIGER, pages 309-317.	7, 8
<p><sup>10</sup> Special categories of cited documents: <sup>15</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"Z" document member of the same patent family</p>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search <sup>2</sup>	Date of Mailing of this International Search Report <sup>3</sup>	
17 May 1991	<b>27 JUN 1991</b>	
International Searching Authority <sup>1</sup>	Signature of Authorized Officer <sup>10</sup>	
ISA/US	 Raj Bawa	

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